Why are we moving to Utilization Payments?

- Connecticut continues to have economic issues that started last year and significantly reduced state revenue.
- This has led to a series of reductions in the DDS budget.

Why are we moving to Utilization Payments?

- Reductions incorporated into the FY 2010 and FY 2011
- ➤ 1.0 million dollar reduction based on the rescission in 2009 and a reduction in transportation of \$1.25 million in transportation savings.
- Additionally, there is another \$1.25 million additional reduction based on transportation reductions in the FY11 budget.

Why are we moving to Utilization Payments?

- DDS has received a series of rescissions to this year's budget approaching 9.0 million dollars. This reduction is approximately nine tenths of a percent of the total budget. Initial rescissions were largely focused on DDS operations.
- As more reductions were necessary, the Day Service funding was reduced by an additional \$250,000 and another \$3.46 million in November.
- ➤ These rescissions were in addition to the reductions DDS had received in funding for FY 2010 and FY 2011 in the16108 account that funds day services.

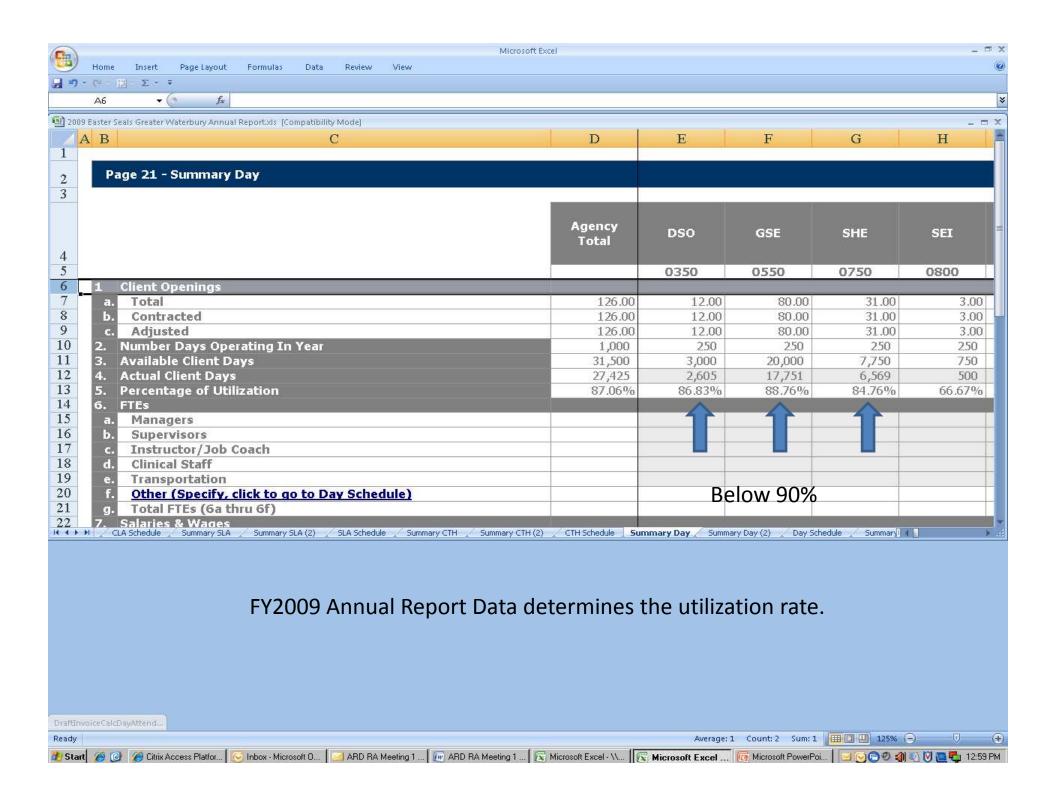
- Payments for contracts will be based on the utilization of supports provided to DDS participants
- Providers will receive a contract service authorization for each individual funded under their Purchase of Service contract.
- Per Diem rates will be based on the identified amounts for each individual in the current FY2010 contract

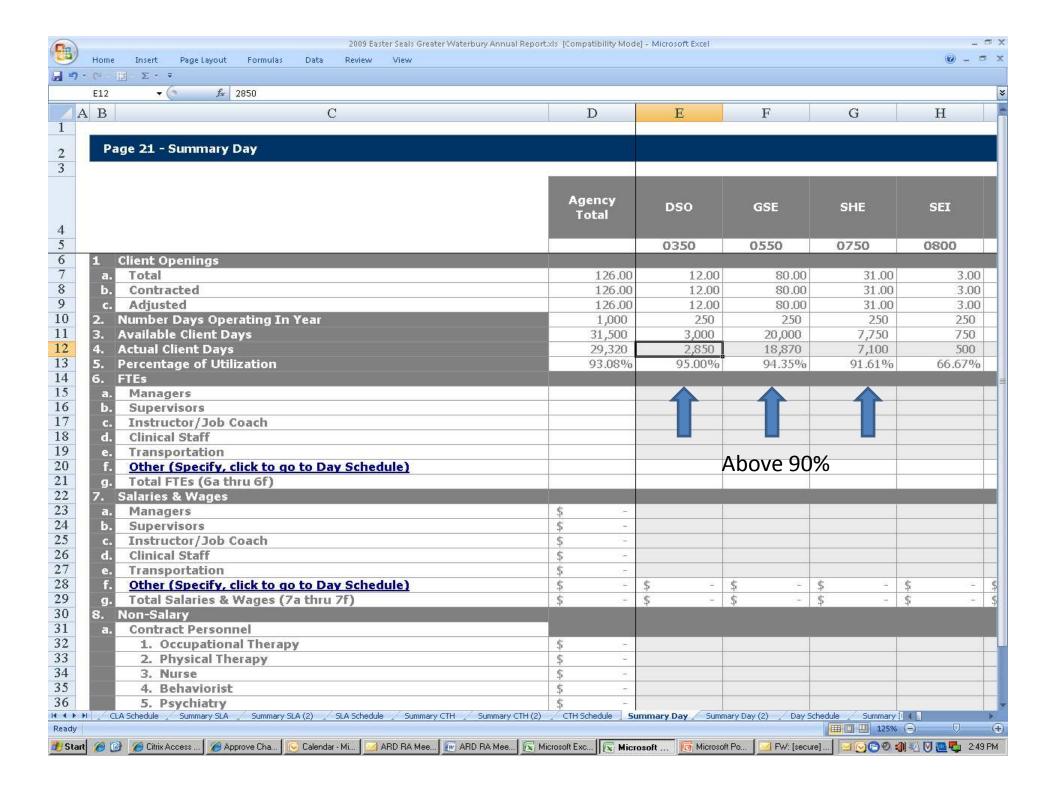
- The allocation amount of each individual will not be reduced.
- Providers will be reimbursed up to a maximum of the contracted total amount for all services provided.
- If overall utilization is higher than projected, a contract amendment will be developed to adjust the maximum financial amount of the contract.

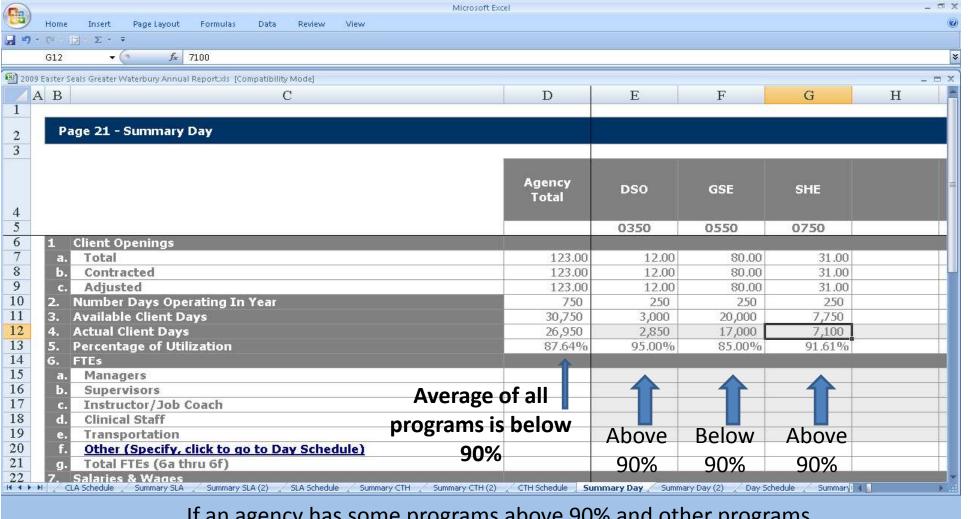
- Contract Service Authorization (CSA) identifies the name of the individual, the effective date, the type of supports, and the amount of supports the Department has authorized the contractor to provide.
- DDS will work with the provider during the initial month of implementation to resolve any programmatic discrepancies listed on the CSA or WebResDay attendance program.
- The provider will be reimbursed only for the supports authorized on the contract service authorization.

Group Day services

- Participants in a group day program with an agency utilization rate at 90% or lower as documented in the FY2009 Annual Report will have their per diem rates based on 225 days.
- Participants in a group day program with an agency utilization rate above 90% as documented in the FY2009 Annual Report will have their per diem rates adjusted to factor in the increased number of days based on the percentage over 90%. For example, a program with a FY2009 utilization rate of 92% in their GSE program will have each of the participant's per diem rates based on 230 days (250 standard days divided by 92%).
- Providers who have two group day programs with one of those programs with utilization above 90% will have a combined percentage to avoid penalizing the provider.







If an agency has some programs above 90% and other programs below 90%, then the rate will be determined on the average attendance of all the programs. If the average is 90% or below, the rate will be based on 225 days. If the average rate is above 90%, then the number of days will be adjusted to factor in the higher utilization percentage.



Group Day services

- Participants with a documented part-time program will have their per diem rates based on 90% of the scheduled days per week. For example, an individual who attends a program three days a week would divide their annual allocation by 135 days (150 days divided by 90%).
- Participants with a blended program will have only one per diem rate that will be based on the current allocation of funding identified in the contract. Attendance reporting on WebResDay will remain the same.
- The utilization of services for all full time individual participants will be reimbursed up to a maximum of 250 days

Individual Supported Employment

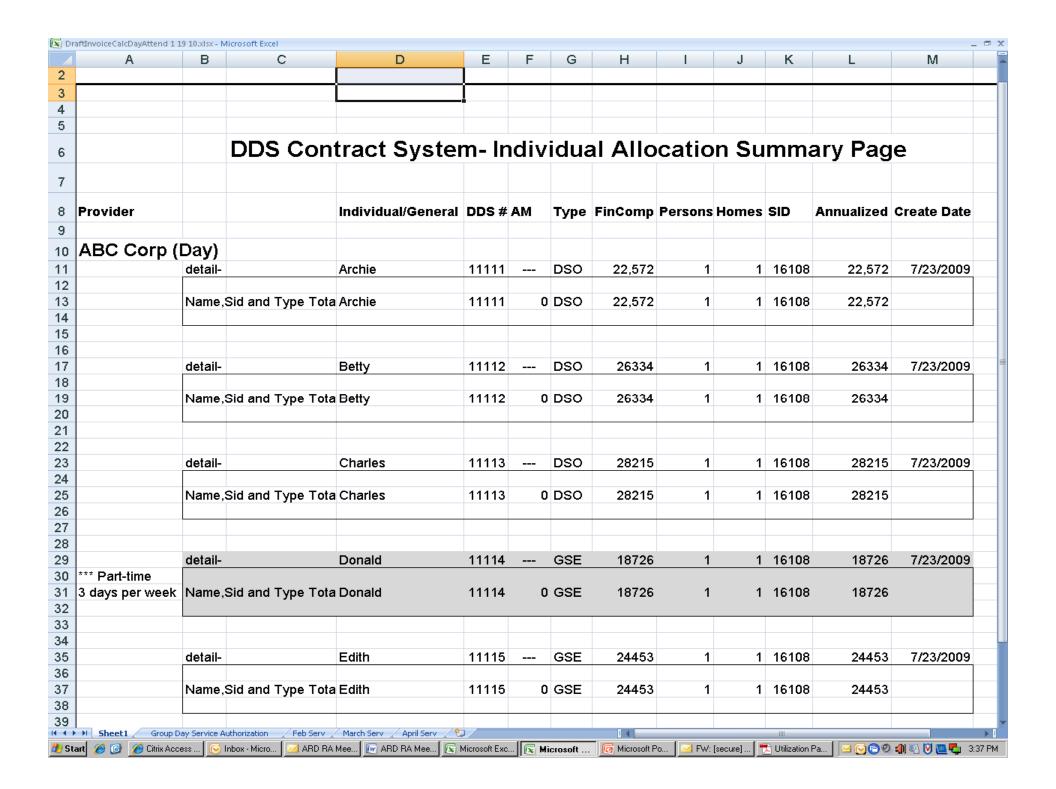
- Participants with an individual supported employment allocation will be reimbursed on an hourly basis at the SEI Rate under the Fee for Service.
- A contract Service Authorization will calculate the weekly hours of support by dividing the total allocation by the SEI rate. For example, a provider will be authorized for an individual with a total funding amount of \$9,065 for three hours of supports per week (\$9,065 divided by SEI rate of \$58.11 divided by 52 weeks).
- A one time amendment will be used to fund transportation for people in SEI for the remainder of the year.
- Transportation for people receiving Individual Supported Employment will be incorporated in next years payments.

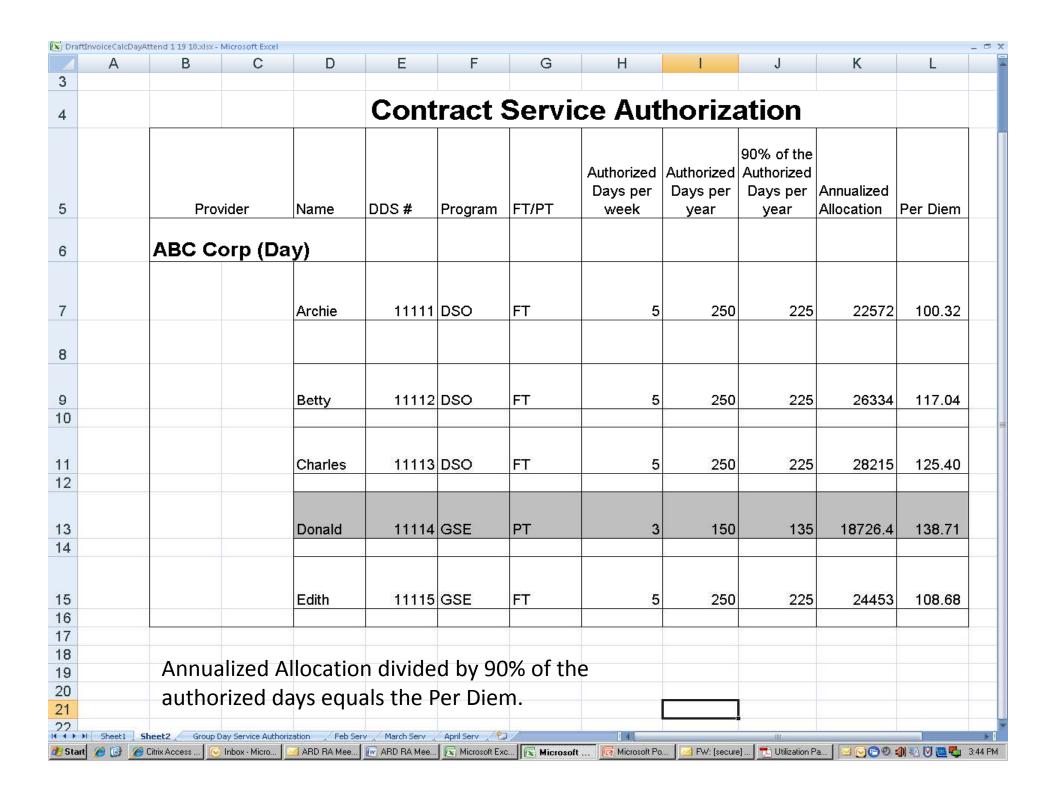
Individualized day – vocational and non-vocational

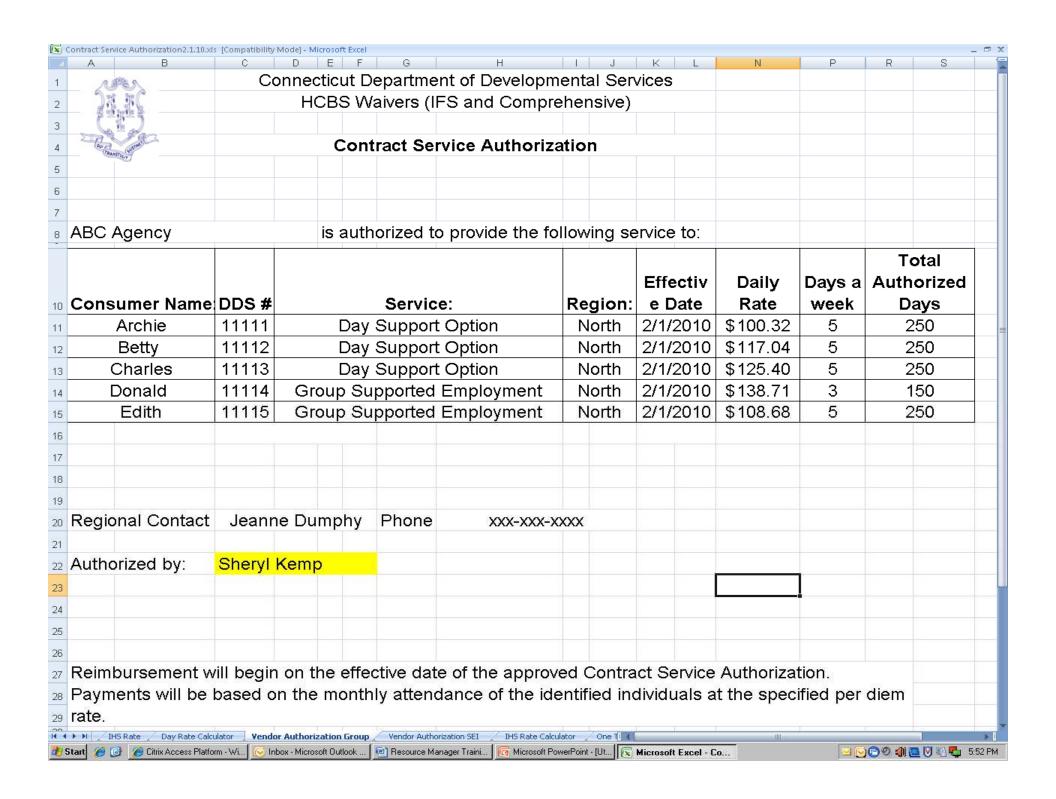
- Participants in an individualized day supports program have been identified by the regions as to whether the program is vocational or non-vocational.
- Individualized day support is an individual program designed specifically for the participant. This service is not provided in or from a facility-based day program.
- Participants with an individualized day support allocation will be reimbursed on an hourly basis at a rate not to exceed the Individualized Day Support rate of \$ 32.20 under the Fee for Service.
- The individual, the provider and the region will determine the currently purchased weekly hours.

Agency Hardship

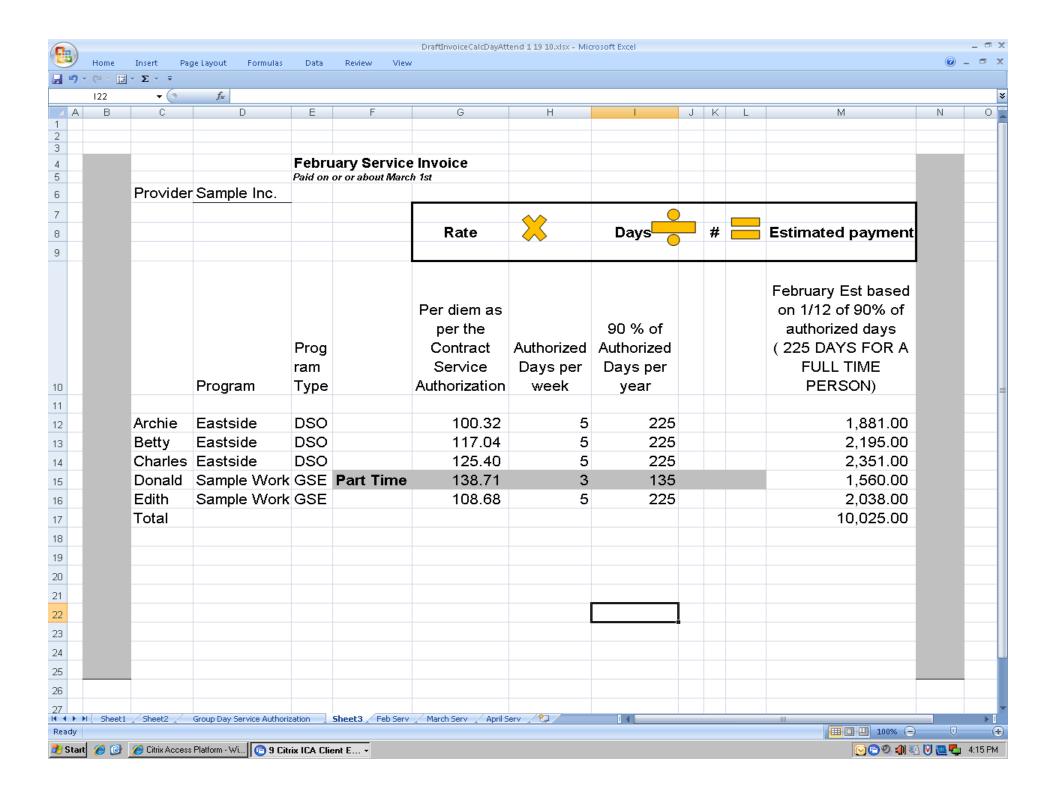
- Agencies that experience financial distress due to low utilization may request a one time emergency allocation.
- Factors that will be considered include:
- Comparing the overall utilization rate of the agency and the effect on reimbursement
- > Strategies used by the agency to increase utilization or reduce costs
- > Higher rate than 90% utilization was used to establish the per diem
- > The effect on the Individual Supported Employment program
- > Determination of whether the agency is a low rate provider
- > The availability of funds
- Individual participants with low utilization may be presented as part of this process.

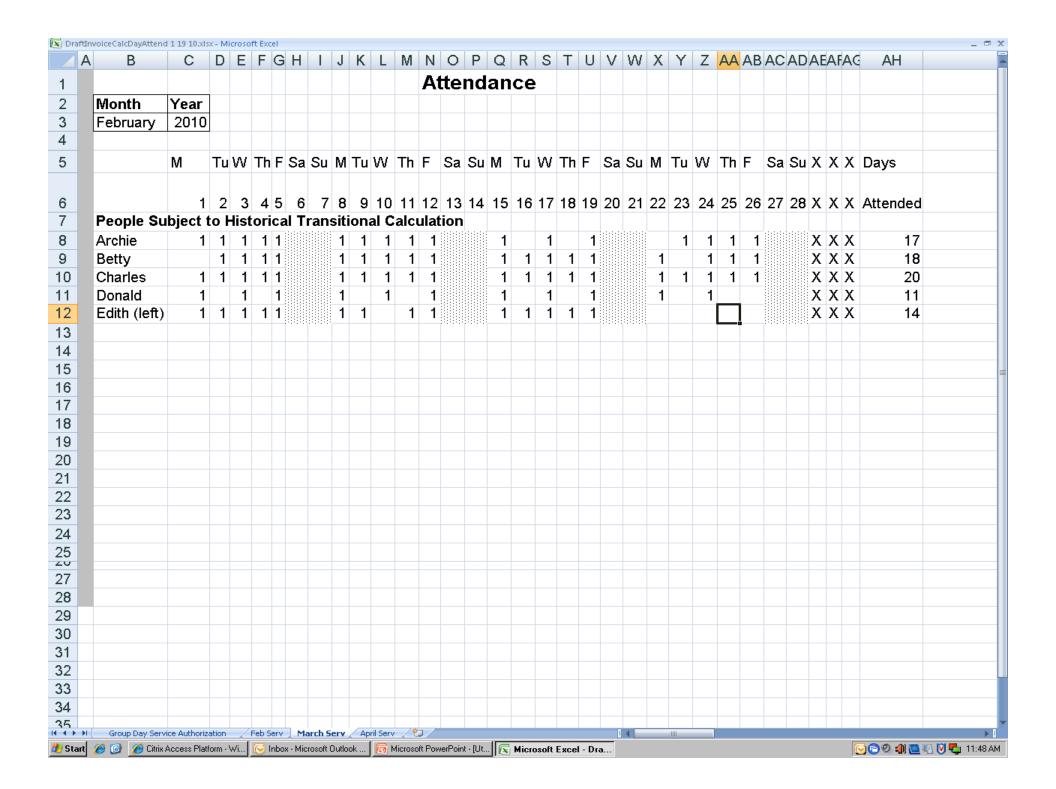




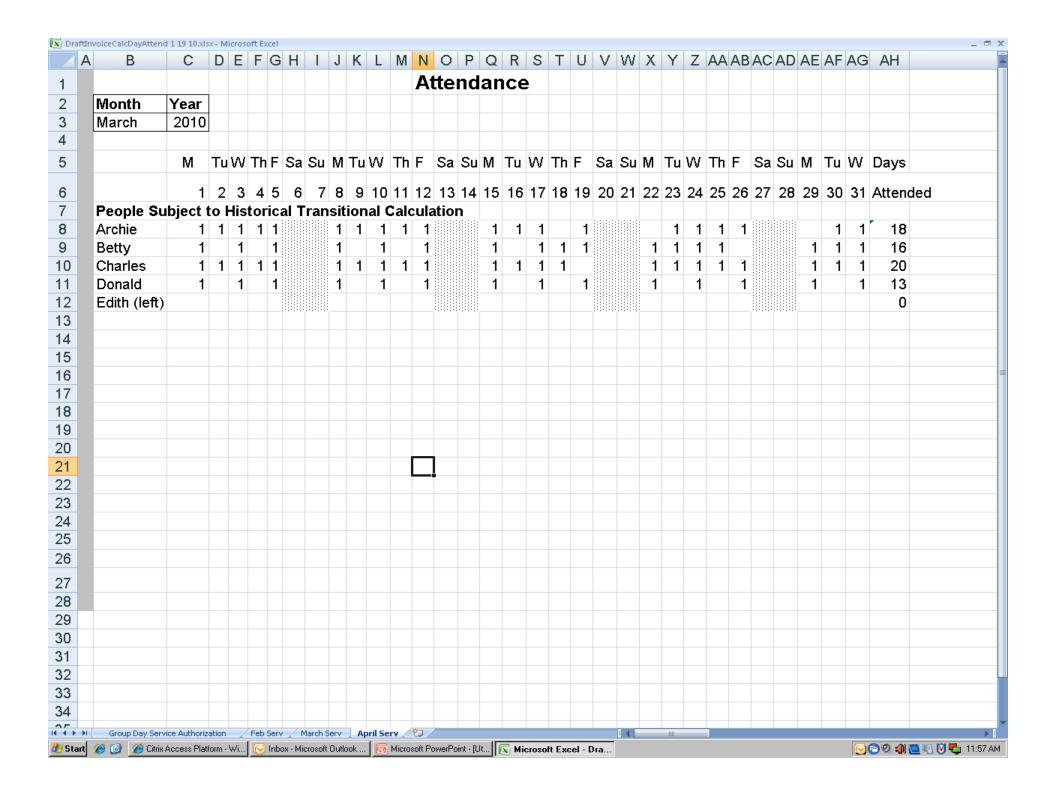


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_					_			_	-						
Agency	Seashore Service	es			1	2	3		5						
		DD0 II			Annual Amt			Annual	Unit						
Contra				First Name	Contract	Week	Attend %		Amount						
North 1	DSO	1305		A	20000	5	90.00%		88.89						
North 1	DSO	1497		В	15000	5			66.67						
North 1	DSO	550		C	17000	5			75.56						
North 1	DSO	2521		D	22000	5			97.78						
North 1	DSO	2015		E	35000	5			155.56						
North 1	DSO	1874		F	12000	5			53.33						
North 1	DSO	4298		G	10000	3			74.07						
North 1	DSO	2619		Н	15000	5			66.67						
North 1	DSO	2077		I	24000	5			106.67						
North 1	DSO	3412		J	28000	5			124.44						
North 1	DSO	2968		K	26000	5			115.56						
North 1	DSO	3251	L	L	36000	5	90.00%	225.00	160.00						
7															
North 1	GSE	2590		М	14000	5			62.22						
North 1	GSE	381		N	12500	5			55.56						
North 1	GSE	4705	0	0	11000	5	90.00%	225.00	48.89						
North 1	GSE	422	P	Р	9000	5	90.00%	225.00	40.00						
North 1	GSE	2223	Q	Q	8000	3	90.00%	135.00	59.26						
North 1	GSE	1040	R	R	15000	5	90.00%	225.00	66.67						
North 1	GSE	2285	S	S	14000	5	90.00%	225.00	62.22						
North 1	GSE	638	T	Т											
5															
North 1	SEI	2971	U	U	12000	4	N/A	200	58.11						
North 1	SEI	3235	V	V	10000	3.5	N/A	175	58.11						
North 1	SEI	128	W	w	8000	3	N/A	150	58.11						
)															
1 Annua	Amt Contract From	Current	Amount in	Contract											
2 Numb	er Units Per week th	ne persor	attends (L	Init would be	Day for Grou	p Day o	r Hour for S	EI and In	nd Day)						
3 Attend	lance Percentage bas	sed on Ai	nnual repoi	t or Minimu	m 90% Group	Day On	lv								
	l Unitss = Units per v						-	ded by 5	8.11 divid	ed by 5	0 for SEI				
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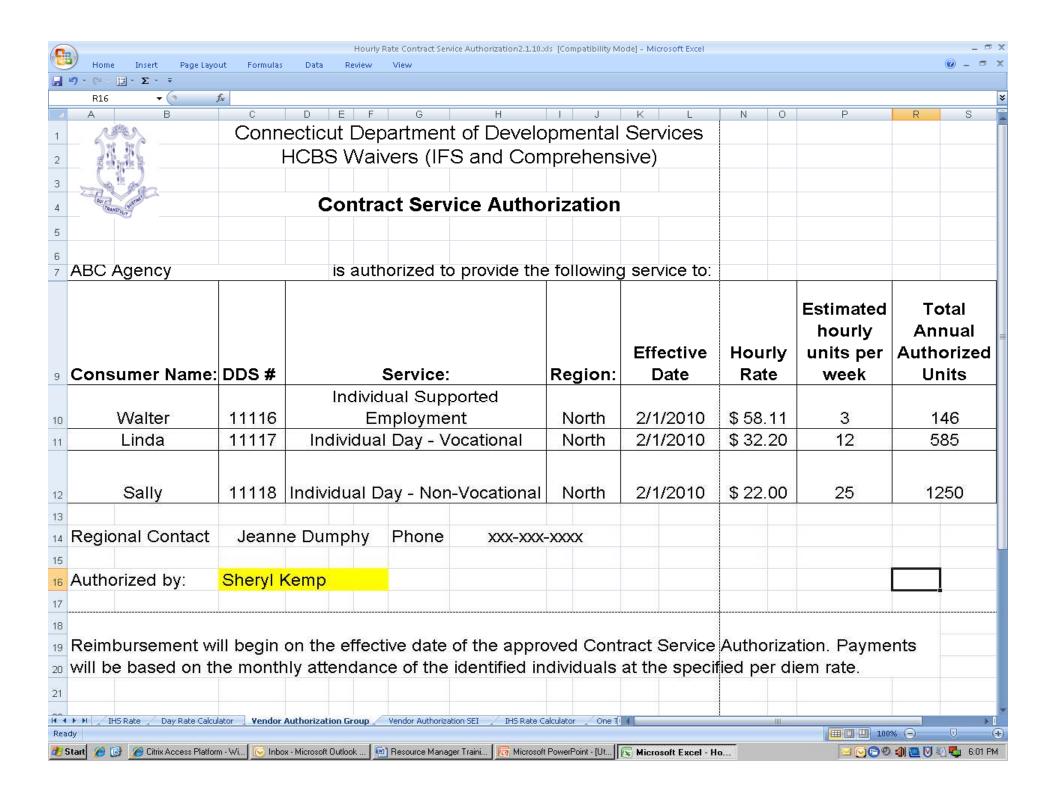


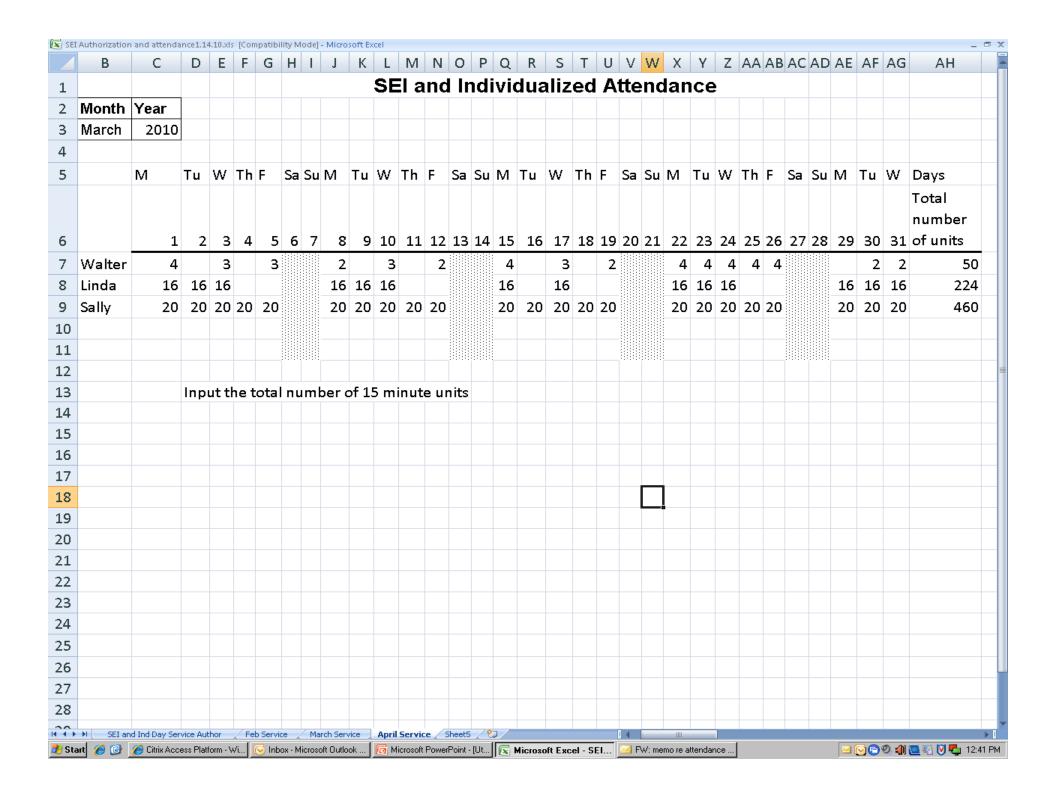


4	AL	AM	AN	AO	AP	AQ	AR	AS	AT A	رل AV
	Ma	rch Service Inv	voice wi	ith Adjus	stment to	Februa	ry Servic	es		Info from
)			Paid on or	r or about A	pril 1st					Feb Inv
}	Provider	Sample Inc.								
5		February Adjustment								
			Program			Feb Days	Days *		based on	February
)	Name	Program	Type		Per diem	Attended	Per Diem		1/12 of	Services from
,										
	Archie	Eastside	DSO		100.32	17	1,705.44		1,881.00	1,881.00
)	Betty	Eastside	DSO		117.04	18	2,106.72		2,195.00	2,195.00
)	Charles	Eastside	DSO		125.40	20	2,508.00		2,351.00	2,351.00
1	Donald	Sample Work Crews			138.71	11	1,525.81		1,560.00	1,560.00
	Edith (left)	Sample Work Crews	GSE		108.68	14	1,521.52			2,038.00
3	Total						9,367.49		7,987.00	10,025.00
4										_
5										
6										
7		T-1-1-4 f F-1		. 0 -41 044-			0.007.40		7 007 00	40.005.00
8		Total due for Februa	ry based or	i Actual Atte	ndance		9,367.49		7,987.00	10,025.00
9 0		Est. February Service	se Daymant	made in Me	rob		10,025.00			_
1		Est. February Service	es Payment	made in Ma	rcn		10,025.00		_	
2		Difference Feb Actua	l Δttendanc	e - Feb 1/12	of 90% of au	thorized da	(657.51)			
3		Difference Feb Actua	Attendano	C - 1 CD 1712	01 00 70 01 40	itiiorized da	(007.01)			
4										
5		Estimated March Ser	vices Pavm	ent			7,987.00			
v			_		D:ff	L				
7		Total Payment for Ap	rii 1 (March	Services +	Difference Fe	bruary)	7,329.49			
8 9										
0 1										
<u>-</u> 2										
3										
4										
5										
-	Group Day Service Au art 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6		April Serv		Microsoft Exce	□ 4				□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□



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	April Ser	vice Invoice wit	h Adjust	ment to	March Se	ervices				Info from
			Paid on or	or about N	lay 1st					March Inv
3	Provider	Sample Inc.								
1										
5			March A	djustmen	t					
			Program	-		August	Amount		April Est	Estimated
6		Program	Туре		Per diem	Days	Days *		based on	March Services
7						_				
}	Archie	Eastside DSO	DSO		100.32	18	1,805.76		1,881.00	1,881.00
)	Betty	Eastside DSO	DSO		117.04	16	1,872.64		2,195.00	2,195.00
0	Charles	Eastside DSO	DSO		125.40	20	2,508.00		2,351.00	2,351.00
1	Donald	Sample Work Crews	GSE		138.71	13	1,803.23		1,560.00	1,560.00
2	Edith (left)					0	-			
3	Total						7,989.63		7,987.00	7,987.00
4										
5										
6										
7										
8										
9		Total due for March I	pased on Ac	tual Attenda	nce		7,989.63		7,987.00	7,987.00
0										
1		Est. March Services F	Payment mad	de in April						
2							7,987.00			
3						- 1	**			
4		Difference March Atte	endance - Ma	arch 1/12 of	225		2.63			
5										
6										
7		Estimated April Service	es Payment				7,987.00			
8		Estimated April Octate	cs rayment				7,007.00			
9		Total Payment for Ma	v 1 (April Se	n/ices + Dif	ference March	1)	7,989.63			1
0		Total Laymont for Ma	, (/ (pill 00		.c. choc march	7	1,000.00			
1										
2										
3										
4										
	► Group Day Servi	ice Authorization Feb Serv March S	Serv April Serv	6 2/		134				





A	AL AL	AM	AN	AO	AP	AQ	AR	AS	AT	ΑU	AV
	April S	Service	Invoice with A	djustme	nt to M	larch Se	rvices				Info from
	_		Paid on or or abou	t May 1st							March Inv
	Provider	Sample In	ıc.								
			March Adjustme	ent							
		Program	Program Type	Rate Per 15 minute unit	Hourly Rate	Feb total units of service	Total units* Per 15 minute rate		April Est based on 1/12 of total authorized hours		March Est based on 1/12 of total authorized hours
	Walter	Eastside	SEI	\$14.53	\$58.11	50	726.50		707.00		707.00
1	Linda	Eastside	Ind Day - Voc	\$8.05	\$32.20	224	1,803.20		1,570.00		1,570.00
	Sally	Eastside	Ind Day - Non Voc	\$5.50	\$22.00	460	2,530.00		2,292.00		2,292.00
)					-		·		-		-
31									4,569.00		4,569.00
	Total						5,059.70				
									4,569.00		4,569.00
		Total due	for March based on	Actual Atte	ndance		5,059.70				
		Est. Marc	h Services Payment n	nade in April			4,569.00				
	-	_ 200			25						
	-	Difference	e March Attendance	- March 1/1	2 of 225		490.70		_		-
		Falius at a d	I Amril Comicos Decurs	25	-		4.560.00				
	-	Estimated	April Services Payme	ent			4,569.00				_
		Total Pavi	⊔ ment for May 1 (Apri	Sarvicas +	Difference	March)	5,059.70				_
		Totallay	mention way 1 (April	i Dei vices	Difference	iviarcii)	3,033.70				
> •	SEI and Ind D	ay Service Author	Feb Service March Service	April Service S	heet5 / 📆 📶						III.

